The Human Rights Framework for Development: Seven Approaches

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Introduction

Human rights and human development have emerged in the final decades of the twentieth century as significant dimensions of international affairs but have generally advanced on parallel and non-intersecting tracks. Their intersection has been only recently acknowledged and has been little studied in the literature of either field or in policy documents. At the conceptual level, one can define development and human rights with a sufficient degree of abstraction as to be virtually identical and essentially unimpeachable. UNDP explains that human development is "about creating an environment in which people can develop their full potential and lead productive, creative lives in accord with their needs and interests [and] thus about expanding the choices people have to lead lives that they value." Human rights are also about creating an environment in which people can develop their full potential and lead creative lives by assuring

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¹ UNDP, *Human Development Report 2002*, p. 9. Without the qualifier "human," "development" is often used to mean economic growth. However, as UNDP pointed out in launching the HDR, the human development "way of looking at development differs from the conventional approach to economic growth, human capital formation, human resource development, human welfare or basic human needs." *Human Development Report 1990*, p. 11.

"the dignity and worth of the human person" and promoting "social progress and better standards of life in larger freedom," in the words of the Universal Declaration of Human Rights. The same may be said for the concept of health, which the World Health Organization (WHO) has defined as "a state of complete physical, mental and social well-being and not merely the absence of disease or infirmity." Such a broad definition—which some consider too broad to be meaningful—embraces virtually the same content as development and human rights since all three deal with the improvement of the human condition. However, even at such a high level of abstraction, distinctions can be made. Development goals tend to focus on the material conditions that allow people to benefit from economic processes in ways that improve their condition; human rights goals tend to deal with normative constraints on power relations to ensure human dignity and the elimination of repressive and oppressive processes; and those of health concentrate on the requirements of physical, mental and social dimensions of human existence.

This paper explores the relevance of the human rights framework to human development by highlighting seven approaches through which human rights thinking is applied to development. By "approach" I mean a conceptual framework or way of dealing with a complex issue or set of issues. Scholars, policy-makers and practitioners have been using a common vocabulary in recent years with respect to each of the approaches in question. Some overlap; some emerge from human rights thinking; some are more common to development thinking. My purpose in grouping them here is to show how each one offers a way of understanding how human rights and development are related. The seven approaches are the *holistic approach*, the *rights (or human rights) based approach*, the *social justice approach*, the *capabilities approach*, the *right to development approach*, the *responsibilities approach*, and the *human rights education approach*. It will conclude with a set of challenges to the development practitioner.

1. The holistic approach

A UNDP policy paper has outlined UNDP's strategy for integrating human rights into sustainable human development and called for a "universal and holistic [approach], stressing the indivisibility and interrelatedness of all human rights—economic, social, cultural, civil and political." The declaration issued at the close of the World Conference on Human Rights in 1993 proclaimed that "All human rights are universal, indivisible and interdependent and interrelated." What is meant by the holistic approach? What value does it have for development and public health?

The first issue in considering the holistic approach to human rights is the opposite trend of dividing human rights into categories deemed different by nature and specifically of distinguishing between civil and political rights, on the one hand, and economic, social, and cultural rights, on the other. It has been argued that the former are "freedoms from" or "droits-attribut," whereas the latter are "rights to" or "droits-créance." The former are said to be absolute

² Preamble to the Constitution of the World Health Organization as adopted by the International Health Conference, New York, 19-22 June, 1946; signed on 22 July 1946 by the representatives of 61 States (Official Records of the World Health Organization, no. 2, p. 100) and entered into force on 7 April 1948.

³ UNDP, *Integrating Human Rights with Sustainable Human Development*, UNDP policy document, New York, January 1998, p. 16.

or of immediate applicability, whereas the latter are relative or for progressive realization. The former are characterized by violations that must be redressed regardless of resources, while the latter are programmatic, calling for cooperation and utilization of resources. These neat distinctions, which developed throughout the Cold War, are disappearing in theory and practice. They represented divergent priorities of the competing ideological blocks. In the post—Cold War period there is no longer an ideological rationale for favoring one category of rights over another. The holistic approach connects all human rights, dispensing with many of the traditional distinctions between categories of rights. The following table lists the main features which have been used to distinguish the two categories and which are progressively being challenged:

Table 1.
Elements Traditionally Used to Distinguish Civil and Political from Economic, Social, and Cultural Rights

Feature	Traditional characteristic of civil and political rights	Traditional characteristic of economic, social, and	Rationale for challenging the distinction
	(CPR)	cultural rights (ESCR)	
Permanence	Absolute, immutable	Relative, responsive to changing conditions	All rights take on priority status when affirmed as human rights
Underlying philosophical objective	Freedom	Equality	Freedom requires both types of rights, and equality must be assured in both
Approach to implementation	Immediate implementation	Progressive implementation	Elements of immediate and of progressive implementation apply to all rights in varying degrees
Availability of Judicial remedies	Justiciable	Political or programmatic	All rights eventually become justiciable as legal redress is provided
Role of the state	Negative rights (freedom from state intervention)	Positive rights (right to benefits from the state)	Varying degrees of the duties to respect, protect, and fulfill apply to all rights
Allocation of resources	Cost-free (individual freedom)	Resources required (welfare)	Resources are needed for realization of CPR, and most ESCR can be realized with minimum investment
Cultural bias	Based on Western economic liberalism	Based on model of centrally planned socialist system or Eastern enlightened king	All political systems are based on constitutionally guaranteed rights of people or citizens
Relation to violations	Violations can be identified and denounced	Violations are unsuitable to cooperation mode	Both violations and cooperation modes may be appropriate for all rights, depending on circumstances

The holistic approach, therefore, avoids misleading categorizations of human rights, although the two covenants, each one devoted to one of the traditional categories, remain the standard reference documents. The Universal Declaration and several more recent formal texts support this holistic approach:

- The Universal Declaration of Human Rights (1948), in Article 28, refers to the right to "a social and international order in which the rights and freedoms set forth in this Declaration can be fully realized." Such an order can only be conceived on the basis of social structures conducive to the realization of rights that cover the civil, cultural, economic, political, and social domains. It implies a holistic framework in which the cumulative effect of realizing all types of human rights is a structural change in both national societies and international society.
- The Declaration on the Right to Development (1986) stresses the holistic approach in Article 6, paragraph 2: "All human rights and fundamental freedoms are indivisible and interdependent; equal attention and urgent consideration should be given to the implementation, promotion and protection of civil, political, economic, social and cultural rights."
- The Vienna Declaration and Program of Action (June 1993) affirms in paragraph 5: "All human rights are universal, indivisible and interdependent and interrelated. The international community must treat human rights globally in a fair and equal manner, on the same footing, and with the same emphasis. While the significance of national and regional particularities and various historical, cultural and religious backgrounds must be borne in mind, it is the duty of States, regardless of their political, economic and cultural systems, to promote and protect all human rights and fundamental freedoms." 5
- The Maastricht Guidelines on Violations of Economic, Social and Cultural Rights, adopted by a team of experts in 1997, note: "It is now undisputed that all human rights are indivisible, interdependent, interrelated and of equal importance for human dignity. Therefore, states are as responsible for violations of economic, social and cultural rights as they are for violations of civil and political rights."

Beyond the reaffirmation that all human rights are interdependent and interrelated, the holistic approach also recognizes that both categories require resources, both can involve violations, both require adaptation and often transformation of institutions and practices, and both are essential for human dignity. The *Human Development Report* of the United Nations Development Program for the year 2000 (*HDR2000*) on human rights and human development dispels four myths about the two categories of rights by clarifying that both categories include positive and negative rights, involve immediate and progressive implementation, require

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⁴ Declaration on the Right to Development, GA Res. 41/128 (Annex), adopted 4 Dec. 1986.

⁵ World Conference on Human Rights, Vienna Declaration and Program of Action, June 1993, DPI/1394–39399, August 1993, p. 30.

⁶ GA Res. 48/141 of 20 December 1993, operative paragraph 3.

⁷ Maastricht Guidelines on Violations of Economic, Social and Cultural Rights, Guideline 4, *Human Rights Quarterly* 1998, 20: 692.

resources, and require quantitative and qualitative indicators. HDR2000 gives examples of how in practice the exercise of civil and political rights has been instrumental in empowering poor people and advancing economic, social, and cultural rights. In the context of development, the holistic approach means that all human rights, not just the right that appears most relevant to the task at hand, must be considered. In urban planning, for example, it is not enough to consider that the allocation of resources to affordable housing is a contribution to the right to shelter; the planner must ask what the plan will do for the residents' enjoyment of rights to health, food, education, information, work, and effective remedies, to mention only the most obvious ones.

2. The human rights based approach

Perhaps the most frequent linking of human rights and human development in policy has been the so-called "rights-based" approach to development, affirming that development should be pursued in a "human rights way" or that human rights must "be integrated into sustainable human development." The "rights way to development" is the shorthand expression for "the human rights approach to development assistance," as articulated by André Frankovits of the Human Rights Council of Australia. The essential definition of this approach is "that a body of international human rights law is the only agreed international framework which offers a coherent body of principles and practical meaning for development cooperation, [which] provides a comprehensive guide for appropriate official development assistance, for the manner in which it should be delivered, for the priorities that it should address, for the obligations of both donor and recipient governments and for the way that official development assistance is evaluated."

Julia Häusermann, writing for the Department for International Development of the United Kingdom, defined the human rights approach to development as one that "puts people first and promotes human-centered development, recognizes the inherent dignity of every human being without distinction, recognizes and promotes equality between women and men, promotes equal opportunity and choices for all..., promotes national and international systems based on economic equity, equity in the access to public resources, and social justices, and promotes mutual respect between people..."

She uses the example of health to demonstrate how the human rights approach addresses the structural causes of poverty: "Economic and social inequalities and inequities are observable through differential health status. Poor health frequently reflects poverty and social marginalization. In turn, poor health exacerbates impoverishment and disadvantage. Health status indicators...are thus frequently an indication of the denial of the human rights that are so vital for survival and development in dignity."

Frankovits and Häusermann are typical of NGO advocates for a human rights based approach. It has become policy of the principal human rights agency of the UN and has been adopted by several other UN agencies.

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⁸ UNDP, *Human Development Report 2000*, p. 93, Box 5.5.

⁹ The Human Rights Council of Australia, Inc., *The Rights Way to Development: A Human Rights Approach to Development Assistance*, Sydney, Australia, 1995. The same organization has produced a manual on the subject. See André Frankovits and Patrick Earle, *The Rights Way to Development: Manual For a Human Rights Approach to Development Assistance*, Marrickvill, Australia, 1998.

Julia Häusermann, *A Human Rights Approach to Development*, London: Rights and Humanity, 1998, p. 32.

¹¹ *Id.*, p. 33.

The Office of the High Commissioner for Human Rights uses the expression "rights-based approach to development," which it defines as follows:

A rights-based approach to development is a conceptual framework for the process of human development that is normatively based on international human rights standards and operationally directed to promoting and protecting human rights.

Essentially, a rights-based approach integrates the norms, standards and principles of the international human rights system into the plans, policies and processes of development.

The norms and standards are those contained in the wealth of international treaties and declarations. The principles include equality and equity, accountability, empowerment and participation. A rights-based approach to development includes the following elements:

- express linkage to rights
- accountability
- empowerment
- participation
- non-discrimination and attention to vulnerable groups 12

UNDP, for its part, also uses a "human rights approach to development," which it defines as a new approach which "focuses on the realization of human rights through human development rather than through a violations policy, and finds resonance in the majority of human rights covenants, declarations and treaties. However," UNDP explains, "the successful implementation of this strategy depends on the ability of countries to progressively and systematically mainstream human rights concerns into national legislation and governance programs, and base them on human development goals." ¹³

The growing trend among scholars, development NGOs and international institutions to use the human rights based approach to development both integrates concepts that already had currency in development theory—such as accountability and transparence in the context of good governance—and adds a dimension with which development practitioners were less familiar—especially the explicit reference to government obligations deriving from international human rights law and procedures.

3. The social justice approach

Many in the public health field attach primary importance to eliminating social disparities and inequalities in access to health. Their agenda is common to many in the food security, adequate housing, environment and globalization fields, namely the pursuit of social justice.

¹² http://www.unhchr.ch/development/approaches-04.html.

¹³ http://www.undp.org/rbap/rights/Nexus.htm.

Human rights frequently becomes a surrogate for social justice, the assumption being that what contributes to social justice in the context of development is also a contribution to human rights.

Tomas Pogge understands social justice as the justice of social institutions or a criterion "which assesses the degree to which the institutions of a social system are treating the persons and groups they affect in a morally appropriate and, in particularly, even-handed way." ¹⁴ The relation between social justice and human rights is explained in this way: "A complex and internationally acceptable core criterion of basic justice might best be formulated, I believe, in the language of human rights [understood] primarily as clams on coercive social institutions and secondarily as claims against those who uphold such institutions." ¹⁵

A particularly eloquent affirmation of the positive value for human rights as normative grounding for social justice was provided by the closing speech of the World Social Forum in Porte Alegre, Brazil, in February 2002, delivered by the winner of the Nobel Prize for Literature, Jose Saramago. He spoke about "the multiple movements for resistance and social action that are fighting to establish a new, distributive and commutative justice that all people can come to recognize as intrinsically theirs, a justice that protects freedom and rights, and not any denial of them." He added,

... [W]e already have a readily understandable code of practical application for this justice, a code embodied for the past fifty years in the Universal Declaration of Human Rights, those thirty essential, basic rights that these days are mentioned only vaguely, if not systematically disregarded. [I]n terms of the integrity of its principles and the clarity of its objectives, the Universal Declaration of Human Rights, just as it is now worded and without changing a single comma, could replace to advantage the platforms of every political party on Earth. ¹⁶

Paul Farmer, coming from public health and social medicine, is another voice for social justice who uses human rights as a privileged normative instrument. Drawing on the insights of liberation theology, which "argues that genuine change will be most often rooted in small communities of poor people," he uses the methodology "observe, judge, act" to challenge unjust structures and understand how a social justice approach can be used to address disease and suffering. He explains, "For me, applying an option for the poor has never implied advancing a particular strategy for a national economy. It does not imply preferring one form of development, or social system, over another... A truly committed quest for high-quality care for the destitute sick starts from the perspective that health is a fundamental human right." He is critical of "liberal" development theory and practice based on ideas of reformism that seek to bring the technological advances of modernity to the poor ("developmentalism"). He is also critical of the

¹⁶ J. Saramago, "From Justice to Democracy by Way of the Bells," closing speech of the World Social Forum, Porte Alegre, Brazil, 5 February 2002, trans. R. Finnegan and C. Johnson.

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¹⁴ Thomas Pogge, World Poverty and Human Rights, Cambridge UK: Polity Press, 2002, p. 31.

¹⁵ *Id.*, p. 44.

¹⁷ Paul Farmer, *Pathologies of Power: Health, Human Rights and the New War on the Poor*, Berkeley: University of California Press, 2003, p. 140.

¹⁸ *Id.*, p. 152.

human rights movement for failing to attach sufficient importance to economic, social and cultural rights. He warns, "As international health experts come under the sway of the bankers and their curiously bounded utilitarianism, we can expect more and more of our services to be declared 'cost-ineffective' and more of our patients to be erased. In declaring health and health care to be a human right, we join forces with those who have long labored to protect the rights and dignity of the poor." ¹⁹

The social justice approach is also reflected in some of the work of development NGOs. Oxfam International, for example, defines itself as "an international group of independent nongovernmental organizations dedicated to fighting poverty and related injustice around the world." Out of this commitment to social justice comes its strategy based on human rights. It focuses on poverty, which it defines as "a state of powerlessness in which people are unable to exercise their basic human rights or control virtually any aspect of their lives."²⁰ Oxfam's strategic plan, called "Toward Global Equity," enumerates "five rights-based aims" that "are supported by the many Treaties and Conventions which are legally binding on those States that have ratified or acceded to them," as well as declarations of the UN General Assembly and international conferences. The unifying theme of the five rights "is to make globalization work for poor and excluded people by establishing and implementing new "fair rules for the global economy." The five rights are "the right to a sustainable livelihood, the right to basic social services, the right to life and security, the right to be heard - social and political citizenship, and the right to an identity - gender and diversity." These are not human rights in the terminology used in the international human rights texts (except for life and security) but are interpretations of social justice that can be justified using those texts. Oxfam explains that its "policies and practices will place the rights and interests of poor people at the center of the agendas of international bodies, governments and of the powerful corporate sector—which increasingly dominates the global economic and social landscape."

Social justice captures an important feature of the human rights framework for development, namely the emphasis on the moral imperative of eliminating glaring social inequality within societies and structurally-imbedded patterns of international support for those inequalities. Since the Universal Declaration of Human Rights affirmed that everyone has the right to a social and international order in which the rights and freedoms set forth in this Declaration can be fully realized, that imperative is part of human rights discourse. However, the human rights framework goes beyond a commitment to social justice in that it supports other dimensions of a life people value that are not focused entirely on reducing the suffering of the poor. It is also different from social justice insofar as it does not rely on a subjective sense of outrage at the suffering of the poor and excluded within society—however admirable such sentiments may be—but rather on a set of agreed standards that limit what governments can do that may contribute to social injustice and defines what they must do to redress such injustice.

¹⁹ *Id.*, p. 159.

²⁰Oxfam International's Mission Statement, http://www.oxfam.org/eng/about strat mission.htm.

²¹ An example of a social justice analysis applied to international health issues is Jim Young Kim, Joyce V. Millen, Alec Irwin, and John Gershman, *Dying for Growth: Global Inequality and the Health of the Poor*, Monroe, Maine: Common Courage Press, 2000.

4. The capabilities approach

The Nobel Prize—winning economist Amartya Sen has articulated an approach to human rights and development that is widely endorsed and of particular relevance to health. In his chapter called "Poverty as Capability Deprivation" in *Development as Freedom*, he argues that development is not the acquisition of more goods and services but the enhanced freedom to choose, to lead the kind of life one values. These enhanced choices are called capabilities. Poverty, he explains, is the deprivation of basic capabilities, and he urges that attention be focused on aspects of life other than income to understand what poverty is and how to respond to it in places like South Asia and sub-Saharan Africa, where extreme poverty is concentrated. These two regions "stand out as the regions where short and precarious lives [measured in terms of life expectancy] are concentrated in the contemporary world." He uses three focal features of deprivation of basic capability—premature mortality, undernourishment, and illiteracy—to compare and contrast these two regions, although he is the first to admit that these indicators do not "provide a comprehensive picture of capability-poverty in these regions."

In the capabilities discourse, "capability" is the option available to the individual to partake of some valued dimension of life; "functioning" is the exercise of that option. Hartha Nussbaum is more explicit than Sen in linking capabilities and functionings to human rights. Table 2 reproduces Nussbaum's table of capabilities and corresponding rights in the UDHR. The left-hand column lists ten "capabilities" which define, according to Nussbaum, the elements of a good life. The right-hand column lists the corresponding human rights as they appear in the UDHR, translating, as it were, the philosophically posited elements of the good life into the language of the international standard-setting process. The aim of this juxtaposition of lists of capabilities and of rights—each list derived from quite different processes—is to underscore how human rights norms address, at least in part, similar concerns to those of the philosopher considering the good life and, we could add, those of the policymaker advocating human development.

²² Amartya Sen, *Development as Freedom*, New York: Knopf, 1998, pp. 87–110.

²³ The concept of capabilities has also been articulated by Martha Nussbaum, who collaborated with Sen, in numerous writings. See, for example, M. Nussbaum, "Nature, Function and Capability: Aristotle on Political Distribution," in *Oxford Studies in Ancient Philosophy*, Supplementary Volume 1 (1988), pp. 145-184; M. Nussbaum, "Non-Relative Virtues: An Aristotelian Approach," in M. Nussbaum and A. Sen (eds.), *The Quality of Life*, Oxford: Clarendon Press, 1993. See also A. David Crocker, "Functioning and Capability: The Foundation of Sen's and Nussbaum's Development Ethics," *Political Theory*, vol. 20:4, pp. 584-612.

²⁴ Amartya Sen, *Development as Freedom*, p. 99.

²⁵ Id., p. 103.

²⁶ A more technical analysis of capabilities and functioning in relation to personal well-being and advantage is provided in Amartya Sen, *Commodities and Capabilities*, Oxford University Press, 1999.

Table 2. Capabilities and Human Rights²⁷

1. <i>Life</i> . Being able to live to the end of a human life of	Article 3 on right to life.
normal length; not dying prematurely, or before one's life	
is so reduced as to be not worth living.	
2. Bodily Health. Being able to have good health,	Article 25, further defined in Article 12 of the ICESCR as
including reproductive health; to be adequately nourished;	the "highest attainable level of physical and mental
to have adequate shelter.	health."
3. <i>Bodily Integrity</i> . Being able to move freely from place	Articles 3, 4, 5 and 13, although domestic violence, sexual
to place; to be secure against violent assault, including	satisfaction, and reproductive choice were not sufficiently
sexual assault and domestic violence; having	well established in 1948 for the overwhelmingly male
opportunities for sexual satisfaction and for choice in	drafters to include them.
matters of reproduction.	
4. Senses, Imagination, and Thought. Being able to use	Article 18 on freedom of thought, conscience, and
the senses; being able to imagine, to think, and to reason,	religion; Article 19 on freedom of opinion and expression;
and to do these things in a "truly human" way, a way	Article 26 on the right to education, which "shall be
informed and cultivated by an adequate education,	directed to the full development of the human
including, but by no means limited to, literacy and basic	personality;" Article 27 on participation in cultural life.
mathematical and scientific training. Being able to use	
imagination and thought in connection with experiencing	
and producing expressive works and events of one's own	
choice, religious, literary, musical, and so forth. Being	
able to use one's mind in ways protected by guarantees of	
freedom of expression with respect to both political and	
artistic speech and freedom of religious exercise. Being	
able to have pleasurable experiences and to avoid non-	
beneficial pain. 5. Emotions: Being able to have attachments to things and	Articles 12 and 16, although privacy, non-interference
5. <i>Emotions</i> . Being able to have attachments to things and	Articles 12 and 16, although privacy, non-interference
5. <i>Emotions</i> . Being able to have attachments to things and people outside ourselves; to love those who love and care	with family and the right to marry and found a family are
5. <i>Emotions</i> . Being able to have attachments to things and people outside ourselves; to love those who love and care for us, to grieve at their absence; in general, to love, to	with family and the right to marry and found a family are manifestations of a much broader idea of capabilities
5. Emotions. Being able to have attachments to things and people outside ourselves; to love those who love and care for us, to grieve at their absence; in general, to love, to grieve, to experience longing, gratitude, and justified	with family and the right to marry and found a family are
5. <i>Emotions</i> . Being able to have attachments to things and people outside ourselves; to love those who love and care for us, to grieve at their absence; in general, to love, to grieve, to experience longing, gratitude, and justified anger. Not having one's emotional development blighted	with family and the right to marry and found a family are manifestations of a much broader idea of capabilities
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5. <i>Emotions</i> . Being able to have attachments to things and people outside ourselves; to love those who love and care for us, to grieve at their absence; in general, to love, to grieve, to experience longing, gratitude, and justified anger. Not having one's emotional development blighted by fear and anxiety. Supporting this capability means supporting forms of human association that can be shown	with family and the right to marry and found a family are manifestations of a much broader idea of capabilities
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²⁷ Reproduced from Nussbaum, op. cit. pp. 44–46.

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This entails provisions of non-discrimination on the basis of race, sex, ethnicity, caste, religion, and national origin.	
8. <i>Other Species</i> . Being able to live with concern for and in relation to animals, plants, and the world of nature.	This concern is found in international environmental instruments and in several draft texts on human rights and the environment, but not in the Universal Declaration, except by implication in Article 28.
9. <i>Play</i> . Being able to laugh, to play, and to enjoy recreational activities.	Article 24 relative to rest and leisure.
10. Control Over One's Environment. A. Political. Being able to participate effectively in political choices that govern one's life; having the right of political participation, protections of free speech and association.	Article 21 on political participation; Article 19 on speech; Article 20 on association.
B. <i>Material</i> . Being able to hold property (both land and movable goods); having the right to employment; having freedom from unwarranted search and seizure.	Article 17 on property; Article 23 on right to work and free choice of employment; Article 12 on non-interference in privacy, family, home or correspondence.

Capability relative to food means that food is available, accessible, affordable, and culturally appropriate. Functioning refers to the consumption of the food. However, "a deeply religious person may prefer not to be well-nourished, but instead prefer to engage in strenuous fasting." Public policy tends to focus on functioning, food consumption or health care delivery, for example. Sen and Nussbaum propose that public policy should instead focus on capabilities. Capabilities in relation to food refer to the conditions that make it possible for a farmer to produce adequate food or a worker to purchase it and for the entire population to have adequate nourishment. Similarly, health is the capability of leading a health life in terms of accessibility, affordability, appropriateness and of quality of care, as defined in the General Comment on the Right to Health, ²⁹ as well as other human rights considered as "integral components of the right to health."

The development practitioner might find in this table a basis for reconsidering options. Bodily integrity, for example, is an essential public health concern calling for appropriate resources and policies in the Ministry of Health and related offices, whereas the problems of physical assault and acts of violence fall more within the purview of the Ministries of Justice and the Interior. Only the concerted efforts of public health and law enforcement will ensure capabilities of bodily integrity. The definitions and mechanisms for monitoring state action contained in the Torture Convention and similar provisions of the International Covenant on Civil and Political Rights and related instruments, such as the texts relating to conduct of law enforcement officials and treatment of detainees, become tools for realizing this capability. These standards barely scratch the surface of what Nussbaum lists under bodily integrity. Freedom of movement is included, which raises the vast range of issues of residence, immigration, asylum, the right to leave any country including one's own and to return to one's

²⁸ Martha Nussbaum, "Capabilities, Human Right, and the Universal Declaration," in Weston & Marks, *The Future of International Human Rights*, Transnational Publishers, 1999, p. 48.

²⁸CESCR, General Comment 14: The right to the highest attainable standard of health, UN Doc. E/C.12/2000/4, 4 July 2000, paras. 34-37.

³⁰The related rights enumerated in the General Comment are: "the rights to food, housing, work, education, human dignity, life, non-discrimination, equality, the prohibition against torture, privacy, access to information, and the freedoms of association, assembly and movement." *Id.*, para. 3

country, and legitimate restrictions on freedom of movement and residence, for example, to prevent the spread of an epidemic. She includes sexual and domestic violence, which bring to mind the Declaration and Special Rapporteur on violence against women, as well as "opportunities for sexual satisfaction" and reproductive choice, on which traditional human rights texts are typically silent. The concept of "respect" in capability 7 involves the major human rights principle of nondiscrimination. The implications of this principle for development planning and practice are considerable. It is a major challenge to ensure that development efforts do not discriminate, but failure to do so would in most cases result in the denial of the capabilities necessary for human development to the presumed beneficiaries of development.

Because the capabilities approach links development concerns to freedom, and because freedom implies the widening of choices in the civil, political, social, economic, and cultural spheres, each of the capabilities in Nussbaum's list may be contemplated as a starting point for a human rights understanding of the development process. This approach has become official policy of UNDP, as reflected in the assertion "...human development shares a common vision with human rights. The goal is human freedom. And in pursuing capabilities and realizing rights, this freedom is vital. People must be free to exercise their choices and to participate in decision-making that affects their lives. Human development and human rights are mutually reinforcing, helping to secure the well-being and dignity of all people, building self-respect and the respect of others."³¹

5. The right to development approach

A fifth human rights approach to human development is to consider development itself as a human right. In the early 1970s the right to development was publicly proposed as a human right,³² and the UN General Assembly proclaimed development as a human right to in its 1986 Declaration on the Right to Development.³³ The United States cast the only negative vote; eight other countries abstained. A considerable body of commentary has appeared in support of the

³¹ UNDP, *Human Development Report 2001*, p. 9.

³² Various starting dates have been proposed. A significant inaugural moment was Judge Kéba M'Baye's lecture at the International Institute of Human Rights in 1972, published as "Le droit au développement comme un droit de l'home," *Human Rights Journal*, Vol. V, No. 2-3, pp. 505-534.

³³ UN GA Res. 41/128. The Commission referred to the right to development in Resolutions before the General Assembly adopted the Declaration. See, for example, Commissions Resolutions 4 (XXXIII) of 21 February 1977, 4 (XXXV) of 2 March 1979, 36 (XXXVII) of 11 March 1981, and 1985/44 of 14 March 1985.

declaration, mainly in legal and human rights publications,³⁴ but critical and skeptical views have also emerged in legal and political writings.³⁵ In its consensus resolution on the 1996–97 program budget, the General Assembly added a requirement that the High Commissioner establish "a new Branch, the primary responsibilities of which would include the promotion and protection of the right to development."³⁶ The Vienna Declaration and Program of Action called the right to development "a universal and inalienable right and an integral part of fundamental human rights."³⁷ The right to development has also been given prominence in the mandate of the High Commissioner, the international conferences and summits, the structure of the Office of the High Commissioner for Human Rights (OHCHR), and the annual resolutions of the General Assembly and the Commission on Human Rights.

The U.S., joined by several other Western countries, has been frustrated by the determination of countries in the Non-Aligned Movement (NAM) to force their interpretation of this right on what is essentially the group of donor states. The NAM countries, for their part, have a strong basis for decrying the failure of a half century of decolonization and development cooperation to eliminate poverty and achieve the objectives of numerous development strategies. They take the position "that developing countries continue to face difficulties in participating in the globalization process, and that many risk being marginalized and effectively excluded from its benefits" and therefore stress the impact of international trade, access to technology, debt burden and the like on the enjoyment of human rights and place these issues on the mandate of the Independent Expert. ³⁹

A breakthrough occurred on 22 April 1998, when the Commission adopted by consensus a resolution on the right to development, recommending to the Economic and Social Council the establishment of a follow-up mechanism consisting of an Open Ended Working Group

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³⁴ In this abundant literature, the following are particularly useful: George Abi-Saab, "The Legal Formulation of a Right to Development," in The Right to Development at the International Level, Hague Academy of International Law, 1980, p. 163 ff; Philip Alston, "Revitalizing United Nations World on Human Rights and Development," Melbourne Univ. Law Review, Vol. 18 (1991), p. 216 ff; P. Alston, "Making Space for Human Rights: The Case of the Rights to Development," Harvard Human Rights Yearbook, Vol. 1, (1988), p. 1 ff.; Upendra Baxi, "The Development of the Right to Development," in Baxi, Mambrino's Helmet?: Human Rights for a Changing World, Har-Anand Publication, New Delhi, 1994, p. 22 ff (reproduced in Janusz Symonides, Human Rights: New Dimensions and Challenges, Ashgate and UNESCO, 1998, pp. 99-116); I. Brownlie, The Human Right to Development, Commonwealth Secretariat, 1989; Y. Ghai and Y. R. Rao, Whose Human Right to Development, Commonwealth Secretariat, 1989; M. Bedjaoui, "The Right to Development," in Bedjaoui, International Law: Achievements and Prospects, UNESCO, 1991, p. 1177 ff; Subrata Roy Chowdhury, Erik M. G. Denters, and Paul J. I. M. de Waart, The Right to Development in International Law, Nijhoff, 1992; Ahmed Mahiou, "Le droit au développement," in International Law on the Eve of the Twenty-first Century: Views from the International Law Commission, United Nations, 1997, pp. 217-36; Kéba M'Baye, "Le droit au développement comme un droit de l'homme," Revue internationale des droits de l'homme, Vol. 5, p. 505 ff (1972); Alain Pellet, "Note sur quelques aspects juridiques de la notion de droit au développement," in Flory, Mahiou, and Henry, La formation des norms en droit international du développement, 1984, p. 71 ff; James C. N. Paul, "The Human Right to Development: Its Meaning and Importance," The John Marshall Law Review, Vol. 25, pp. 235-64.

³⁵ See, for example, J. Donnelly, "In Search for the Unicorn: The Jurisprudence and Politics of the Right to Development," *Calif. Western International Law Journal*, Vol. 15 (1985), p. 4723 ff.

³⁶ A/Res/50/214, para. 37.

³⁷ Vienna Declaration and Program of Action (see note 5), Part I, para. 10.

³⁸ General Assembly Resolution 56/150, para. 20.

³⁹ For example, *Id.*, para. 21.

⁴⁰ Commission on Human Rights Resolution 1998/72.

(OEWG) to monitor and review progress and report to the Commission, and an Independent Expert to "present to the working group at each of its sessions a study on the current state of progress in the implementation of the right to development as a basis for a focused discussion, taking into account, *inter alia* the deliberations and suggestions of the working group."⁴¹ Dr. Arjun Sengupta, a highly experienced economist from India, was appointed as Independent Expert. By 2003 he had produced six reports and the Open Ended Working Group had held four sessions.

The NAM functions at the Working Group primarily through the "Like-Minded Group" (LMG) whose members are Algeria, Bangladesh, Bhutan, China, Cuba, Egypt, India, Indonesia, Iran, Malaysia, Myanmar, Nepal, Pakistan, Philippines, Sri Lanka, Sudan and Vietnam. 42 The NAM met in Kuala Lumpur, Malaysia, in February 2003 and adopted a resolution calling for a binding legal instrument on the right to development, which was included in the resolution adopted by the Commission on Human Rights in 2003. In that resolution, the Commission requested its Sub-commission "to prepare concept documents establishing options for the implementation of the right to development and their feasibility, inter alia an international legal standard of a binding nature, guidelines on the implementation of the right to development and principles for development partnership."⁴³

However, these decisions seem to be based on the misguided assumption that the proclamation of the Declaration on the Right to Development constituted a victory for the poor countries, in part resuscitating the New International Economic Order of the 1970s and in part creating an obligation on donor countries to provide more. The Declaration on the Right to Development does mention that "states should realize their rights and fulfill their duties in such a manner as to promote a new international economic order," which is then rendered rather vague insofar as it is "based on sovereign equality, interdependence, mutual interest and co-operation among all states, as well as to encourage the observance and realization of human rights." That compromised language is rather far removed from mandating an altered international division of labor or terms of trade or aid. Nevertheless, the right to development is used rhetorically to amplify Third World demands on the industrialized world for a transfer of resources, in the form of foreign aid or debt forgiveness.

The challenge from the beginning has been to translate the hopeful but ambiguous language of the Declaration into concepts that are meaningful to economists and useful to the rethinking of the development process. The Independent Expert has being doing both. Sengupta's basic approach is to consider development as a process in which developing countries integrate human rights, and development partners (donor governments, international financial institutions, and Specialized Agencies) provide enhanced resources to assist them in this effort. The World Bank, the IMF, UNCTAD, ILO, UNDP, UNESCO, and several donor governments have expressed interest in participating in such a new approach to implementing the right to development, and the Independent Expert has been working with the FXB Center at the

⁴¹ Commission on Human Rights Resolution 1998/72, adopted without a vote on 22 April 1998.

⁴² List circulated by the Secretariat at the Open Ended Working Group on the Right to Development, 10 February

^{2003,} on file with the author.

43 Commission on Human Rights Resolution 2003/83, adopted on 25 August 2003 by a vote of 47 in favor and 3 against, with 3 abstentions.

Harvard School of Public Health on a set of seven country studies exploring what the right to development means in practice with respect to health, food, and education.

It is important to note, as did the Committee on Economic Social and Cultural Rights, that the Declaration is "not designed to be operational." Nevertheless, the effort to move from political rhetoric to development practice is one of the most welcome trends since the Declaration was adopted in 1986. It is unfortunate indeed that after 30 years of scholarly writing and diplomatic efforts to understand the right to development, and 15 years after the Declaration was adopted, the debate remains polarized and confused. On the positive side, one thing is clear today: any claim that development takes priority over respect for human rights, and that the realization of human rights must await a certain level of development, is contrary to the principle that all human rights, including civil and political rights, must be respected in development planning and implementation. 45 It is less well understood that the Declaration precludes states from determining whatever development policy suits them. In fact, the Declaration establishes the duty of states "to formulate appropriate national development policies that aim at the constant improvement of the well-being of the entire population and of all individuals, on the basis of their active, free and meaningful participation in development and the fair distribution of the benefits resulting therefrom."⁴⁶ The right to development thus implies that development policies should be revised to meet the human-centered and participatory elements of the definition contained in the Declaration.

Moreover, "fair distribution of the benefits" of development⁴⁷ and nondiscrimination in development⁴⁸ are part of the right and, if taken seriously, could be invoked to block or reduce support for projects that fail on either of these grounds. Further, the development process is not the sole responsibility of government leaders and officials of ministries and intergovernmental agencies, since states have a duty to ensure "active, free, and meaningful participation" and "encourage popular participation in all spheres as an important factor in development." National development policy and programs of development agencies should thus become instruments of democratization and the empowerment of civil society rather than perpetuate technocratic approaches to development.

Even on the question of human rights violations, there is a common misconception that the promotional approach to human rights in the context of development excludes any reference to violations. In fact, the Declaration on the Right to Development specifies that "states shall take resolute steps to eliminate the massive and flagrant violations of human rights of peoples and human beings affected by situations such as apartheid, racism," and other violations.⁵¹

⁴⁴ The Incorporation of Economic, Social and Cultural Rights into the United Nations Development Assistance Framework (UNDAF) Process. Comments adopted by the Committee on Economic, Social and Cultural Rights, 15 May 1998.

⁴⁵ Declaration on the Right to Development (DRD), Article 6(3).

⁴⁶ DRD, Article 8(1).

⁴⁷ DRD, Article 2(3).

⁴⁸ DRD, Articles 6(1) and 8(1).

⁴⁹ DRD, Article 2(3).

⁵⁰ DRD, Article 8(2).

⁵¹ DRD, Article 5. Note that this article does not use the more hortatory "should" found elsewhere in the Declaration, but the more forceful "shall."

Moreover, "states should take steps to eliminate obstacles to development resulting from failure to observe civil and political rights, as well as economic, social and cultural rights." Thus, the Declaration makes clear that violation of civil and political rights is an obstacle to development and that eliminating violations of human rights is a necessary part of development.

Little attention has been given to identifying the extent to which the concept of the right to development and a human rights-based approach to development overlap or differ. The Working Group on the Right to Development stated in 1994: "The right to development is more than development itself; it implies a human rights approach to development, which is something new."53 This statement expresses only part of the overlap. Expressed simply, the right to development is broader that the human rights-based approach, encompassing a critical examination of the overall development process, including planning, participation, allocation of resources, and priorities in international development cooperation. The human rights-based approach to development is part of the right to development, but it may also involve isolating a particular issue, such as health, and applying to that issue a clear understanding of the state's obligations under the relevant international human rights instruments and the insights applicable to project implementation derived from authorized interpretations of those obligations, such as General Comment 14. Thus, the right to development implies both a critical review of the development process in a given country and a program of action to integrate a human rights approach within all aspects of that process. In short, the Declaration is a more balanced text than the politicized debate would suggest. It is not neutral on the model of development, but by stressing "the human person [as] the central subject of development," and by requiring that the realization of all human rights be part of development, it defines the essence of "human" in human development.

6. The responsibilities approach

Human rights in the law and practice of international relations are not merely abstract ethical principles but legal norms implying obligations by states to respect, protect, promote, and fulfill the rights in question and duties on individuals and other non-state actors to contribute to the realization of those rights. In the context of development, as well as in the political sphere, there is considerable confusion about whether and to what extent duties or responsibilities constitute an alternative to human rights. Some assert that duties to the community are the essence of the role of the individual in society, and that such duties should prevail over any attempts by individuals to use human rights to challenge the cultural foundations of society. This argument is often heard in the context of the "Asian values" debate, according to which prosperity and well-being of societies in Asia are said to be the result of discipline and a sense of duty to the community, which Western notions of democracy and human rights would threaten. Insistence on duties may disguise unwillingness to acknowledge that citizens have human rights, which governments are obliged to respect. Kim Dae Jung, a former human rights activist and later president of South Korea, rejected the "Asian values" argument, which he found "not only insupportable but self-serving." Kim considered that "[t]he biggest obstacle [to establishing

⁵² DRD, Article 6(3).

⁵³ E/CN.4/1995/11, 4 September 1994, para. 44.

⁵⁴ Kim Dae Jung, "Is Culture Destiny? The Myth of Asia's Anti-Democratic Values," *Foreign Affairs*, 1994, 73: 190.

democracy and strengthening human rights in Asia] is not its cultural heritage but the resistance of authoritarian rulers and their apologists."55

In addition to the failure of the "Asian values" argument to acknowledge the diversity of values and the importance of community in all societies, it also misses the point that concepts of duties and responsibilities are part of the human rights discourse, not in opposition to it. Understanding the legitimate role that duties and responsibilities have in the realization of human rights is essential to understanding the relevance of human rights to development.

There are three types of duties under international human rights standards that establish direct and indirect responsibilities.⁵⁶ First is the general proposition that for every right there is a corresponding duty of the state or other duty-holder. The second is the social contractarian concept of duties owed by every individual to the community in exchange for the individual freedoms and security protected by society. The third is the recognition in the major international human rights texts that legitimate limitations on and derogations from certain rights may be made in certain circumstances, thus tempering the absolute character of those rights by the constraints of governance. I will say a few words about each.

The *correlation of rights and duties* is a traditional notion of moral philosophy. Immanuel Kant explained that "we know our own freedom (from which all moral laws and hence all rights as well as duties are derived) only through the moral imperative, which is a proposition commanding duties; the capacity to obligate others to a duty, that is, the concept of a right, can be subsequently derived from this imperative."⁵⁷ Translating Kant's conceptions of rights and duties into current human rights discourse can be problematic in light of his distinction between "duties of justice" and "duties of virtue." Among the former are "perfect duties," the nonperformance of which constitutes a wrong sanctioned by external legislation. Other duties are based on ethics. This distinction is sometimes made with reference to "perfect obligations" and "imperfect obligations" or, in Ronald Dworkin's terminology, between "concrete rights" and "abstract rights." The difficulty lies in the notion that human rights are only rights properly speaking if they involve "perfect obligations" on an identified duty-holder to perform a specific act or abstain from certain acts vis-à-vis the right-holder, as in the performance of a contract or in not committing an assault.

The problem is that contemporary human rights standards reflected in the core human rights treaties do not always define rights as correlating to "perfect obligations." Paul Streeten, in reviewing Sen's *Development as Freedom* considered that "the language of obligations or duties or responsibilities is sufficient for these demands [that others help the person to achieve the freedom in question] without invoking the language of rights."⁵⁸ Of course he is correct that "obligations, duties and responsibilities are possible without rights."⁵⁹ However, the correlation

⁵⁵ *Id.*, at 194.

⁵⁶ This analysis draws in part on that provided by the International Council on Human Rights Policy in *Taking* Duties Seriously: Individual Duties in International Human Rights Law: A Commentary, 1999.

⁵⁷ Immanuel Kant, *The Metaphysical Elements of Justice: Part I of the Metaphysics of Morals*, originally published in 1798, Bobbs-Merrill Company, 1965, p. 45.

⁵⁸ Paul Streeten, "Freedom and Welfare: A Review Essay on Amartya Sen, Development as Freedom," Population and Development Review, 26(1), p. 155 (March 2000). ⁵⁹ *Id*.

of rights and duties is invaluable to the relationship between the human rights framework and development theory and practice. Streeten uses the example of obligations to future generations, noting that "nonexisting people cannot have rights." However, if the current generation has a right to development (discussed above) that right implies sustainable development, which by definition "is development that meets the needs of the present without compromising the ability of future generations to meet their own needs." The obligation of the state is not to unborn people but to the current generation, who is presumed to care about future generations. Streeten is also correct to say that in "a low-income country there is an obligation, not to provide the full paraphernalia of a modern welfare state, but for everyone to enjoy a fair share of the community's resources." That obligation corresponds not only to the right to development but also to the rights subject to progressive implementation as set out in the International Covenant on Economic, Social and Cultural Rights.

Contemporary human rights standards allow a broader and nonetheless legal valid understanding of "imperfect obligations," the fulfillment of which may take a variety of forms not necessarily in direct correlation to each individual's right. Some clarification of the validity of imperfect obligations beyond the ethical realm may be provided by consequential evaluation. That term is defined as "the requirement that any choice of actions (or rules, or strategies, or whatever) be based on selecting an alternative that produces not worse an overall outcome than any other available alternative."62 In an essay that introduces human rights into the framework of consequential evaluation, Sen proposes a "rights-inclusive consequentialism" that has advantages over "rights-independent consequential evaluation" of utilitarianism and over libertarianism. 63 Turning to "human rights that individuals are supposed to have...because of their status as human beings," Sen acknowledges "the responsibilities that others have—irrespective of citizenship, nationality, and other denominations—to help this person to attain these freedoms. If others can help, then there is a responsibility that goes with it."64 What is important for our purpose is that "[s]ome of these obligations tend to be more fully specified than others" and that "even the fulfillment or violation of precisely specified obligations can go with imperfect obligations of others to help in a general way." This general duty to help, he continues, "through a consequential link, may be rather inexactly specified (telling us neither who must particularly take the initiative, nor how far he should go in doing this general duty), but this loosely formulated obligation—Immanuel Kant would call it an "imperfect obligation"—to help may nevertheless be seriously considered by (and be influential with) responsible people." The essential point from our perspective of the responsibilities approach to human rights in development is this:

It is important to see that in linking human rights to both perfect and imperfect obligations, there is no suggestion that the right-duty correspondence be denied. Indeed, the binary relation between rights and

⁶⁰ The Brundtland Commission, Our Common Future (Oxford: Oxford University Press, 1987).

⁶¹ Streeten, loc. cit.

⁶² Amartya Sen, "Consequential Evaluation and Practical Reason," *The Journal of Philosophy*, Vol. xvii, No. 9, September 2000, p. 478.

⁶³ *Id.*, pp. 493–94.

⁶⁴ *Id.*, p. 494.

⁶⁵ *Id*.

⁶⁶ *Id.*, p. 495.

obligations can be quite important, and it is precisely this binary relation which separates out human rights from the general valuing of freedom (without a correlated obligation of others to help bring about a greater realization of human freedom). The question that remains is whether it is adequate for this binary relation to allow *imperfect* obligations to correspond to human rights, without demanding an exact specification of who will have to do what, as in the case of legal rights and specified perfect obligations.⁶⁷

Sen quite correctly observes that "[i]n the absence of such perfect obligations, demands for human rights are often seen just as loose talk." He responds to this challenge with two questions "Why insist on the absolute necessity of co-specified perfect obligation for a putative right to qualify as a real right? Certainly, a perfect obligation would help a great deal toward the realization of rights, but why cannot there be *unrealized* rights, even rights that are hard to realize?" He answers by explaining that he "would resist the claim that any use of rights except with co-linked perfect obligations must lack cogency.... Human rights are seen as rights shared by all—irrespective of citizenship—and the benefits of which everyone *should* have. The claims are addressed generally—in Kant's language "imperfectly"—to anyone who can help. Even though no particular person or agency has been charged with bringing about the fulfillment of the rights involved, they can still be very influential."

This argument can be applied to the international human rights standards of special relevance to development. Indeed, the language of the main human rights treaties does not lack cogency because it refers, taking the example of Article 2 of the ICESCR, to the obligation of states parties "to take steps, individually and through international assistance and co-operation, ... to the maximum of its available resources, with a view to achieving progressively the full realization of the rights recognized in the present Covenant by all appropriate means, including particularly the adoption of legislative measures." That text is a catalogue of imperfect obligations and nevertheless subject to specification as to what steps, when, what forms of assistance, by whom, with what allocation of resources, with what pace of progressive realization, through what means, etc. The general comments adopted by the various treaty bodies have sought to clarify these "imperfect obligations of others to help in a general way" not only by providing guidelines on specific steps but by outlining distinctions between obligations to respect, protect, and fulfill, sometimes extending this list to respect, protect, promote, and provide. The provide of the provide

It is thus possible to group the obligations contained in human rights treaties into two general types:

⁶⁷ *Id*.

⁶⁸ *Id*.

⁶⁹ *Id.*, p. 496.

⁷⁰ *Id.*, p. 497.

⁷¹ In a comment on types of state duties imposed by human rights treaties, Steiner and Alston extend this list to five obligations: "respect the rights of others," "create institutional machinery essential to realization of rights," "protect rights/prevent violations," "provide goods and services to satisfy rights," and "promote rights." Steiner and Alston, *International Human Rights in Context: Law, Politics, Morals*, 2d ed., 2000, pp. 182–84.

- 1. Perfect obligations. These are immediate obligations that can be enforced through judicial process. In other words, accountability takes the form of enforceable remedies. In this category one can include most state undertakings to
 - a. Respect, i.e., prevent state agents from denying a right and punish them for acts of commission and omission, such as refusing treatment for a person with AIDS because of that person's sexual orientation.
 - b. Ensure or protect, i.e., prevent private actors from violating a right and punish them for prohibited acts, such as a domestic partner's communicating an infectious disease through forced sex and threats or use of violence. This is also the level of responsibility obliging the state to ensure, through domestic regulatory mechanisms, that corporations do not engage in practices that result in violations of human rights. At the international level, multinational corporations and international financial institutions may contribute to the deprivation of rights, such as the right to health. The Maastricht Guidelines refer to the corresponding obligation of States Parties to the International Covenant on Economic, Social and Cultural Rights to "ensure that violations do not result from the programs and policies of the organizations of which they are members [including international financial institutions]."
- 2. *Imperfect obligations*. These are general commitments to pursue a certain policy or achieve certain results. They are typically not justiciable; that is, immediate individual remedies through the courts are not normally provided where the state falls short of its responsibilities with respect to these obligations, although there are still legal obligations. Thus states are required to take certain steps immediately in the direction of sound progressive realization of the right to health—for example, to avoid discrimination and to provide a core minimum level of realization.⁷³ Into this category fall most state responsibilities to
 - c. Promote, i.e., undertake campaigns to alter attitudes and behavior of the population in such areas as lifestyles, nutrition, and harmful traditional practices. This obligation is already contained in the UN Charter and is implicitly or explicitly contained in every human rights treaty. It is the essence of the admonition of the Universal Declaration of Human Rights that "every individual and every organ of society, keeping this Declaration constantly in mind, shall strive by teaching and education to promote respect for these rights...." A related responsibility is to facilitate, that is, to intervene in ways that create an enabling environment for the realization of the right, for example, by state-sponsored research and production and dissemination of information, especially with regard to available services, and training of health care staff to respect the dignity and rights of people they are serving, especially vulnerable or marginalized groups.
 - d. Fulfill or provide, i.e., allocate resources to enable people to enjoy the right. This obligation refers to the national budgets, effectiveness of service delivery, emergency assistance to the needy, and similar state functions that are necessary to meet the needs of the population unmet in other ways. Some of these functions, like establishing a police force to ensure personal safety, or hiring judges to dispense equal justice, are normally exclusive functions of the state. Others, like providing shelter for the homeless, are

⁷² Maastricht Guidelines on Violations of Economic, Social and Cultural Rights (see note 7), para. 19.

⁷³ *Id.*, paras. 8–9.

appropriate when the normal functioning of the market and other institutions fails. Citing the example of providing primary health care, the Maastricht Guidelines note that states are required "to take appropriate legislative, administrative, budgetary, judicial and other measures toward the full realization of [economic, social, and cultural] rights."⁷⁴

The second type of duty which is part of human rights discourse concerns the *duties* individuals have to others and to the community. This is the essential element of the social contractarian theory of human rights, according to which every citizen benefits from official recognition and protection of his or her rights and freedoms in exchange for respecting the rights and freedoms of others and contributing to the community in ways that are normally set out in the national constitution. These duties of all people to respect the rights of others and contribute to the community are not necessarily burdens imposed on unwilling individuals forced to abandon their rights; they are rather culturally grounded means of creating a human rights community, that is, a society in which each member is conscious that respect for others' rights is a condition for obtaining the respect of one's own rights. These duties are commonly incorporated into basic human rights texts. Table 3 contains a partial enumeration of duties contained in human rights texts.

Table 3. Human Rights Texts Enumerating Duties and Responsibilities

	Reference document	Text affirming a duty
1.	Universal Declaration of Human Rights	"Everyone has duties to the community in which alone the free and
	(1948), Article 29(1).	full development of his personality is possible."
2.	International Covenants on Human	"[T]he individual, having duties to other individuals and to the
	Rights (1966), Preamble.	community to which he belongs, is under a responsibility to strive
		for the promotion and observance of the rights recognized in the
		present Covenant."
3.	Declaration on the Right to Development	"All human beings have a responsibility for development,
	(1986), Article 2(2).	individually and collectively, taking into account the need for full
		respect for their human rights and fundamental freedoms as well as
		their duties to the community, which alone can ensure the free and
		complete fulfillment of the human being, and they should therefore
		promote and protect an appropriate political, social and economic
		order for development."
4.	Declaration on Human Rights Defenders	"Everyone has duties towards and within the community, in which
	(1998), Article 18.	alone the free and full development of his or her personality is
		possible Individuals, groups, institutions and non-governmental
		organizations have an important role to play and a responsibility
		inpromoting human rights"
5.	American Convention on Human Rights	"Every person has responsibilities to his family, his community,
	(1969), Article 32(1). See also American	and mankind. 2. The rights of each person are limited only by the
	Declaration on the Rights and Duties of	rights of others, by the security of all, and by the just demands of
	Man (1948).	the general welfare, in a democratic society."
6.	African Charter of Human and Peoples'	"Every individual shall have duties towards his family and society,
	Rights (1961), Article 27. See also Preamble and Articles 28–29.	the State and other legally recognized communities in the
	Freamole and Afficies 28–29.	international community. 1 The rights and freedoms of each
		individual shall be exercised with due regard to the rights of others,
		collective security, morality and common interest."

⁷⁴ *Id.*, para. 6, p. 694.

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7. Convention on the Rights of the Child (1989), Article 5. See also Articles 18(1) and 27(2).

"States Parties shall respect the responsibilities, rights, and duties of parents...or other persons legally responsible for the child, to provide, in a manner consistent with the evolving capacities of the child, appropriate direction and guidance in the exercise by the child of the rights recognized in the present Convention."

To these general human rights texts should be added a set of normative instruments applying to professions. With respect to health practitioners, the World Medical Association adopted the Declaration of Helsinki to guide doctors, and the UN has adopted Principles of Medical Ethics relevant to the Role of Health Personnel, particularly Physicians, in the Protection of Prisoners and Detainees against Torture and Other Cruel, Inhuman or Degrading Treatment or Punishment. The UN has also adopted numerous texts dealing with the duties of law enforcement officials, the judiciary, lawyers, prosecutors, and other professions involved in the administration of justice. The UN has also adopted numerous texts dealing with the duties of law enforcement officials, the judiciary, lawyers, prosecutors, and other professions involved in the administration of justice.

The third type of duty already recognized in international human rights law is the *duty to* exercise rights responsibly. Human rights texts regularly contain *limitation clauses* that allow the state to restrict the enjoyment of certain rights in order to ensure that they are exercised responsibly. Freedom of expression should not mean that anyone can circulate false information that defames the reputation of others or incite violent acts. Nor should freedom of movement and residence allow individuals to disregard emergency health measures designed to halt the spread of an epidemic. The principal general text on this principle is Article 29(2) of the Universal Declaration, which reads: "In the exercise of his rights and freedoms, everyone shall be subject only to such limitations as are determined by law solely for the purpose of securing due recognition and respect for the rights and freedoms of others and of meeting the just requirements of morality, public order, and the general welfare in a democratic society."

The best example of these limitation clauses is contained in the ICCPR with respect to six rights: Article 12(3) (movement), Article 14(1) (public trial), Article 18(3) (religion), Article 19(3) (opinion), Article 21 (assembly), and Article 22(2) (association). They allow the rights in question to be limited as long as they are prescribed by law and necessary in a democratic society to protect public order, public health, public morals, national security, public safety, or the rights and freedoms of others.

Thus, the development practitioner seeking to apply a human rights approach to human development needs to be aware of both the political manipulation of the relationship between responsibilities and rights and the technical and valid use of the concept. The obligations in the human rights field are primarily those of governments. However, individuals and non-state entities have obligations as well, and governments have a duty to ensure that these non-state actors do not violate human rights in their relations with others. Finally, legitimate limitations

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⁷⁵ Declaration of Helsinki I (Helsinki, 1964), II (Tokyo, 1975), III (Venice, 1983), and IV (Hong Kong, 1989). The texts are reproduced in George J. Annas and Michael A. Grodin, *The Nazi Doctors and the Nuremberg Code: Human Rights in Human Experimentation*, Oxford University Press, 1992, pp. 331–42.

⁷⁶ General Assembly Resolution 37/194 of 18 December 1982.

⁷⁷ United Nations, *Compendium of United Nations Standards and Norms in Crime Prevention and Criminal Justice*, ST/CSDHA/16, New York, 1992.

may be placed on rights so that they may be exercised responsibly, but these limitations are not a license to governments to evade their duties.

7. The human rights education approach

A seventh approach to including human rights in the practice of development is that of human rights education (HRE). As understood here, HRE is close to the concept of community-based development work or participatory action research (PAR). The essence of these ideas is that the most effective means of enhancing people's capabilities is to facilitate their own social transformation through participation in the decisions that affect development.

The commitment of governments and international institutions to HRE may be traced back to the Universal Declaration, which was proclaimed "to the end that every individual and every organ of society, keeping this Declaration constantly in mind, shall strive by teaching and education to promote respect for these rights...." When the United Nations General Assembly proclaimed the UN Decade for Human Rights Education (1995-2004) in 1994, it gave an acceptable definition of human rights education in acknowledging that it "involves more than providing information but rather is a comprehensive life-long process by which people at all levels of development and in all strata of society learn respect for the dignity of others and the means and methods of ensuring that respect within a democratic society."⁷⁹ Government acceptance of HRE is further reflected in the Declaration on Human Rights Defenders, which was adopted on the occasion of the 50th anniversary of the Universal Declaration of Human Rights. 80 That Declaration covers human rights training and education, including the duty to facilitate human rights education at all levels of schooling, and in particular in the training of lawyers, law enforcement officials, members of armed forces, and public officials.⁸¹ The Declaration recalls various human rights treaties establishing the duty of States Parties to adopt measures to promote human rights through teaching, education, and training; to ensure the widespread dissemination of information about national and international human rights laws; to report to UN treaty bodies; and to encourage states to support the establishment of independent human rights institutions, such as human rights commissions and ombudspersons. These are useful commitments on which development practitioners can build when working with governments on integrating the HRE approach into their human rights agenda.

The most salient feature of HRE is the concept and practice of a transformative pedagogy of human rights, which holds the potential for altering the power structure behind most forms of oppression and repression. Indeed, if people everywhere commit to building a political culture

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⁷⁸ Universal Declaration of Human Rights, Preamble, emphasis added.

⁷⁹ GA Res. 48/127, 48th Sess. Supp No.49 at 246 UN Doc. A/48/49 (Vol.1) (1993).

⁸⁰ The Declaration is officially known as the "Declaration on the Right and Responsibility of Individuals, Groups and Organs of Society to Promote and Protect Universally Recognized Human Rights and Fundamental Freedoms." See General Assembly resolution 53/144 of 9 December 1998.

⁸¹ See Declaration cited in note 46, Articles 14 and 15. A similar provision can be found in the Convention on Torture: "Each State party shall ensure that education and information regarding the prohibition against torture are fully included in the training of law enforcement personnel, civil or military, medical personnel, public officials and other persons who may be involved in the custody, interrogation or treatment of individuals subjected to any for of arrest, detention or imprisonment." Convention Against Torture and Other Cruel, Inhuman or Degrading Treatment or Punishment, GA Res. 39/46 of 10 December 1984, Article 10.

based on the right and responsibility of everyone to respect, ensure, and fulfill human rights for all, the space for abuse of public trust, violence against the physical and mental integrity of others, and exploitation of the vulnerable will contract. Clarence Dias has listed five ways HRE contributes to development: by helping monitor development activities; by mobilizing support for victims' struggles for rehabilitation, redress, and justice; by promoting understanding of the rationale for development; by securing more effective participation in the development process; and by securing accountability for those responsible for misuse of public resources.⁸²

Human rights education, as defined here, is promoted by NGOs such as People's Decade for Human Rights Education⁸³ and Human Rights Education Associates,⁸⁴ and has been adopted in part by the Office of the High Commission for Human Rights⁸⁵ and by the World Health Organization in the area of reproductive health and rights. 86 Moreover, support by international agencies like UNDP for international and local NGO projects based on such an approach is consistent with the resolutions and plan of action of the UN Decade for Human Rights Education, which governments have accepted. HRE also enables people to participate in followups to the international conferences in Copenhagen on poverty alleviation, in Beijing on violence against women and political participation, and in Vienna on the right to development. The technical approach of those agencies reassures governments while dealing with issues that are fundamentally political. Extensive information is available on the various approaches to conducting HRE activities in a wide range of settings⁸⁷ and on resources and contacts.⁸⁸

The basic precepts of HRE give content to the participation concept in development. In practical terms, HRE as a development strategy focuses on non-formal human rights education in which the human rights educator's role is that of "facilitator" rather than "teacher." More specifically, it is "goal-oriented non-formal education," that is, organized, systematic educational activity outside the school system that is designed to reach any of the following six goals⁸⁹:

- enhance knowledge
- develop critical understanding
- clarify values
- change attitudes
- promote solidarity
- alter behavior or practice

82 Clarence Dias, "Human Rights Education as a Strategy for Development," in Andreopoulos and Claude, Human Rights Education for the Twenty-First Century, University of Pennsylvania Press, 1997, pp. 52–53.

⁸³ See its website at www.pdhre.org.

⁸⁴ See its website at www.hrea.org.

⁸⁵ See, for example, Report of the Secretary-General, Guidelines for national plans of action for human rights education, UN doc. A/52/469/Add.1 (20 October 1997).

⁸⁶ See, for example, WHO, Transforming Health Systems: Gender and Rights in Reproductive Health, A Training Curriculum for Health Programme Managers, Geneva: WHO, 2001. WHO/RHR/01.29.

⁸⁷ See, in particular, George J. Andreopoulos and Richard Pierre Claude, Human Rights Education for the Twenty-First Century, University of Pennsylvania Press, 1997. PDHRE is planning to publish a world report on human rights education containing many more examples and theories of HRE.

⁸⁸ Frank Elbers, Human Rights Education Resourcebook, Human Rights Education Associates, Cambridge, MA, 2000. Available online at http://www.hrea.org/pubs/HREresourcebook/resourcebook.pdf.

⁸⁹ These goals of HRE were articulated in Richard Claude's *Methodologies for Human Rights Education*.

When all six are met, the most important goal can be achieved: empowerment, which Richard Claude defines as "a process through which people and/or communities increase their control or mastery over their own lives and the decisions that affect their lives."90 A constant concern of the human rights educator is to make the learners aware of their right to know their rights and especially their right to claim them. It is in this sense that we refer to human rights education as transforming beggars into claimants, that is, shifting from development as charity to development as the realization of capabilities. It is therefore essential that HRE apply "participatory methodologies" to provide an experiential foundation for learning. The learning process, according to this methodology, is not memorization of information communicated by the instructor, but an experience through which learners acquire understanding by doing. "Experiential" or "hands-on learning" is a particularly valid methodology because it allows participants to become totally involved in the activity. All the senses are implicated, making the learning curve steeper. It also offers a democratizing element. In more traditional methodologies the highly educated elite tend to dominate, thus maintaining social hierarchies. In experiential work there exists a leveling mechanism, which assures greater democratic participation. Finally, experiential methods are open-ended. While the facilitator may have a predetermined goal, the exercises emphasize process; therefore, the participants determine the practice to a great extent.

Participants and facilitators at each step must become aware that "you learn the way you have been taught"; i.e., participants in a HRE learning experience will bring back the "message" in the way they have heard it. Care must be taken so that these future trainers do not see the future trainees as objectified. No one can speak for those not present. Thus, a HRE activity should not include statements about what rural women think or what monks do when neither rural women nor monks are present in the training group.

The principles of HRE can be applied in the context of development in a six-step strategy of community-based HRE:

- 1. The group, after leaning to work democratically, engages in its own analysis of their situation of repression or oppression.
- 2. The group begins to develop a strategy to change that situation.
- 3. The facilitator provides information on relevant human rights means and methods.
- 4. The group, with the facilitator's help if needed, implements first phase of action plan to change reality.
- 5. The group assesses the impact of its action and moves to higher level of intervention, dealing with structures of oppression and repression.
- 6. The group carries out higher-level intervention and evaluates the results with a view to enlarging the process of claiming human rights.

The HRE approach can take many forms, from small-group community task forces to the creation of human rights communities. The concept of human rights communities, as promoted by the People's Decade of Human Rights Education (PDHRE), is based on the idea of members of a community accepting human rights obligations in all aspects of community life, whether in the family (for example, agreeing to respect the rights of women and children as defined in

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⁹⁰ Popular Education for Human Rights: 24 Participatory Exercises for Facilitators and Teachers, Human Rights Education Associates, 2000, p. 6.

CEDAW and CRC regardless of contrary traditional practices), in professional life (for example, judges agreeing to apply national and international human rights law in their courts), and in sum in all the contexts of social life. In so doing they enhance respect for their own rights. ⁹¹ The strategy has been applied in several cities, including Rosario, Argentina; Graz, Austria; Thies, Senegal; Nagpur, India; and Kati, Mali.

Conclusion: From human rights theory to development practice

The seven approaches to applying human rights to development discussed above are not exhaustive but cover the main ways in which human rights can be applied to human development. For the development practitioner, including in the field of public health, several steps may be taken to move from human rights theory to development practice.

1. Identify the elements of a rights-based approach:

a. Define socioeconomic issues in terms of rights.

Issues of health, education, food, shelter, labor, vulnerability, marginalization, equity, gender, and similar matters are constant concerns of the development practitioner. The International Covenant on Economic, Social and Cultural Rights has formulated them all in normative terms. The challenge is to learn the similarities and differences in the understanding of these issues in the contexts of development planning and implementation, on the one hand, and human rights, on the other.

b. Use the General Comments by the treaty bodies.

The treaty monitoring committees, especially the Committee on Economic, Social and Cultural Rights, have issued thoughtful interpretations of the content of specific rights, with examples of what they expect States Parties to do to fulfill their obligations with respect to those rights. The development practitioner would benefit from a careful reading of these General Comments and discussion of their implications with colleagues. It is especially important to reflect on the concepts of "core minimum obligations."

c. Refer to treaty obligations of the main human rights treaties.

The six main human rights treaties contain commitments that States Parties have made in areas directly affecting development. It is appropriate—and even mandated by the Memorandum of Understanding between the High Commissioner for Human Rights and the Administrator in the case of UNDP—to draw on these obligations in discussion with governments regarding their development plans and priorities. One need not consider it too political or controversial, for example, to draw a government's attention to a project that acquiesces to or results in some form of discrimination against women. In that case,

⁹¹ The four-step strategy for creating human rights communities is set out in that organization's web site: http://www.pdhre.org/projects/hrcommun.html.

⁹² They are available on the web site of the UN High Commissioner for Human Rights; see http://www.unhchr.ch/tbs/doc.nsf.

⁹³ See, for example, Committee on Economic, Social and Cultural Rights, General Comment No. 3 (1990), UN Doc. E/1991/23, Annex III, para. 10.

explicit reference to that government's obligations under CEDAW should be part of the discussion.

d. Focus on obligations to respect, protect, promote, facilitate, and provide.

Development practitioners would gain from using the ideas developed since the appearance of Asbjørn Eide's 1989 report by on the right to food, which have been adapted by most other special rapporteurs and in the General Comments, regarding the different types of obligations implied by governmental agreement to realize fully the treaty rights. It is sometimes useful to make a table indicating what the state should do to respect, to protect, to promote, to facilitate, and to provide for each issue or sub-issue (e.g., free primary education or healthy development of the child, to take two specific rights in the CRC).

e. Apply the participatory method.

Participation is part of most development strategies. The human rights framework enhances this dimension of development and surrounds it with certain guarantees, such as freedom of association and expression, the right to information, and protection from arbitrary treatment of persons who express critical views. The right to development approach provides a normative basis for making participation an essential dimension of development planning, while the human rights education approach offers an in-depth model for ensuring effective participation at the community level. The Declaration on Human Rights Defenders, the resolutions on the UN Decade for Human Rights Education, and the Declaration on the Right to Development provide useful reference points for advocating participation.

2. Balance the necessary cooperation model with the occasional need to use a violations approach to human rights.

Development practitioners tend to shy away from human rights because the human rights approach conjures up a confrontational "naming and shaming" approach used by organizations like Amnesty International and Human Rights Watch. While these organizations do use pressure on states by calling attention to their shortcomings, human rights advocacy is not at all limited to that mode of interaction. The cooperative mode, often readily observed in the practice of the treaty monitoring bodies, offers ample opportunity for both foreign development partners (bilateral and multilateral) and domestic civil society organizations to interact productively with ministerial officials and other government agents of development. Explanations, information, indications of best practices, and the like are often more effective than threats of publicity or prosecution.

Once development partners have practice in this cooperative mode with the government, it becomes possible to gauge when and how to draw attention to violations. In exceptional situations, a development practitioner familiar with human rights may alert the government that, unless it corrects some development practice that constitutes a human rights violation, one of the treaty bodies may find it necessary to draw attention to the violation. In the extreme case of a flagrant violation where the government manifests indifference or defiance toward its human rights obligations, the development practitioner should know how to communicate critical

information to the Office of the High Commissioner or to Special Rapporteurs. Therefore, for the development practitioner, the balance normally tilts in the direction of the cooperation mode, but the application of the violations mode may be necessary in extreme cases. Understanding the nature of government responsibilities, as well as those of individuals and non-state actors, is essential to knowing when and how to shift from the cooperation to the accountability mode.

3. Apply relevant indicators and benchmarks.

It is a natural tendency among development practitioners to assume that the development indicators with which they are familiar provide the best answer to whether rights to education, health, food, and the like are being fulfilled. There are serious methodological problems with this assumption, and there are no easy answers. Sometimes some typical development indicators are relevant; other times they are not relevant, or only partially so. These methodological problems are addressed in Chapter 5 of *HDR2000*. The development practitioner needs to understand the limitations of indicators and contribute to the ongoing discussion within the agencies and the treaty monitoring bodies on how this situation can be improved.

It is also sometimes assumed that high ranking in the human development index (HDI) indicates an absence of human rights problems. In fact, high HDI may correlate with either an enlightened policy and practice in the field of economic, social, and cultural rights or a repressive set of measures that violate civil and political rights. The Declaration on the Right to Development and the policies of such agencies as the Office of the High Commissioner for Human Rights and UNDP require simultaneous respect for all human rights. Sensitivity to this basic policy is critical to effectively integrating human rights into the work of the development practitioner. The capabilities approach enhances sensitivity to the more subtle determinants of human rights realization in the context of development. However, that approach does not provide a formula for the best use of indicators. Further, the holistic approach teaches that indicators of progress regarding a specific right must be complemented by data on the realization of all the other related rights.

4. Apply the human rights approach within the process of development planning.

For the development practitioner, the rubber hits the road when a new policy consideration like the human rights approach becomes part of discussions within the Ministry of Planning or its equivalent. This policy may be completely new to the partners with which the practitioner is used to working. It may be contrary to expectations of bilateral donors, IFIs, and other funding partners who continue planning that excludes the human rights approach. No one is comfortable standing out on a limb while all the partners politely (or not so politely) draw attention to the importance of sticking to the agenda and the economic aspects without bringing in extraneous political factors such as human rights. The development practitioner needs to acquire a solid background in the human rights approach to convince the partners that it is legitimate and even helpful to development planning to bring in such considerations.

It should also be noted that the Common Country Assessment (CCA) and UN Development Assistance Framework (UNDAF) guidelines may be inadequate in terms of references to key human rights texts. These guidelines have been improved, but more work is

needed for the systematic integration of human rights into the analysis. Each of the seven approaches discussed in this paper can enrich the human rights content of those planning documents.

5. Be attentive to the habits of development partners during project implementation.

It is equally important to monitor implementation of development projects for compliance with the human rights criteria identified during the planning phase and to correct unanticipated human rights problems that may arise. This vigilance requires sensitivity to local government inexperience with the human rights approach.

Bilateral donors (especially DFID, NORAD, DANIDA, SIDA, and CIDA) have explicit mandates for human rights in development. Their experience can be valuable in developing the skills needed for human rights monitoring of development projects. Other UN agencies may be slower than UNDP in integrating human rights into development, especially the international financial institutions (IFIs) and the specialized agencies. Working within those agencies to provide such monitoring is more difficult, but effective application of the human rights framework includes working with them to develop progressively the habits that both reflect and generate a common commitment to the official policy, which comes with experience integrating human rights into human development across the UN system.

Habits of local NGOs and other elements of civil society, such as traditional practices, may impede or help with integrating human rights into sustainable human development. The development practitioner should identify the human rights constituency within the civil society that can take the lead in dealing with traditional practices. Most intractable among these is entrenched corruption in government and civil society, which runs directly counter to attention to equity concerns and participatory aspects of the human rights approach.

In meeting these challenges, the development practitioner stands at the front lines of the effort to transform the human rights approach to development—from the rhetoric of resolutions adopted in New York and Geneva into practice that affects people's lives and health.